

031904


16562 U.S. PTO

PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		<b>Attorney Docket No.</b>	15353US02																
		<b>First Inventor</b>	Chung																
		<b>Title</b>	ENHANCING COCHLEAR IMPLANTS WITH HEARING AID SIGNAL PROCESSING TECHNOLOGIES																
		<b>Express Mail Label No.</b>	EL 848970006 US																
<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450																	
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p><p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p><p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <u>26</u>]</span> <i>(preferred arrangement set forth below)</i> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&amp;D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings <i>(if filed)</i> -Detailed Description -Claim(s) -Abstract of the Disclosure</p><p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets <u>15</u>]</span></p><p>5. Oath or Declaration <span style="float: right;">[Total Sheets <u>4</u>]</span> a. <input checked="" type="checkbox"/> Newly executed (fax copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></div><div style="width: 48%; vertical-align: top;"><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p><p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies</p></div></div>		<b>ACCOMPANYING APPLICATION PARTS</b> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: .....</p>																	
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: .....</p> <p>Prior application information:                      Examiner: _____                      Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																			
<b>19. CORRESPONDENCE ADDRESS</b>																			
<input checked="" type="checkbox"/> Customer Number: <span style="border: 1px solid black; padding: 2px 20px;">23446</span> OR <input type="checkbox"/> Correspondence address below																			
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="2">Name</td><td colspan="2"></td></tr><tr><td colspan="2">Address</td><td colspan="2"></td></tr><tr><td>City</td><td>State</td><td>Zip Code</td><td></td></tr><tr><td>Country</td><td>Telephone</td><td>Fax</td><td></td></tr></table>				Name				Address				City	State	Zip Code		Country	Telephone	Fax	
Name																			
Address																			
City	State	Zip Code																	
Country	Telephone	Fax																	
Name (Print/type)		Registration No. (Attorney/Agent)	36,308																
Signature 		Date	March 19, 2004																

17858 U.S. PTO  
10/805016

031904

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> <b>for FY 2004</b> Patent Fees are subject to annual revision.		<b>Complete if Known</b>	
		Application Number	unassigned
		Filing Date	herewith
		First Named Inventor	Chung
		Examiner Name	unassigned
		Group Art Unit	unassigned
TOTAL AMOUNT OF PAYMENT	(\$968.00)	Attorney Docket No.	15353US02

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453</td> <td>665</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501</td> <td>665</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>640</td> <td>2503</td> <td>320</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>770</td> <td>2809</td> <td>385</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>770</td> <td>2810</td> <td>385</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>770</td> <td>2801</td> <td>385</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="4"><b>SUBTOTAL (3)</b></td> <td>(\$0.00)</td> </tr> </tbody> </table>		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____					<b>SUBTOTAL (3)</b>				(\$0.00)
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																						
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																						
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																						
1053	130	1053	130	Non-English specification																																																																																																																																																																																						
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																						
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																						
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																						
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																						
1252	420	2252	210	Extension for reply within second month																																																																																																																																																																																						
1253	950	2253	475	Extension for reply within third month																																																																																																																																																																																						
1254	1,480	2254	740	Extension for reply within fourth month																																																																																																																																																																																						
1255	2,010	2255	1,005	Extension for reply within fifth month																																																																																																																																																																																						
1401	330	2401	165	Notice of Appeal																																																																																																																																																																																						
1402	330	2402	165	Filing a brief in support of an appeal																																																																																																																																																																																						
1403	290	2403	145	Request for oral hearing																																																																																																																																																																																						
1451	1,510	1451	1510	Petition to institute a public use proceeding																																																																																																																																																																																						
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																						
1453	1,330	2453	665	Petition to revive - unintentional																																																																																																																																																																																						
1501	1,330	2501	665	Utility issue fee (or reissue)																																																																																																																																																																																						
1502	480	2502	240	Design issue fee																																																																																																																																																																																						
1503	640	2503	320	Plant issue fee																																																																																																																																																																																						
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																						
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																						
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																						
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																						
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																						
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																						
1801	770	2801	385	Request for Continued Examination (RCE)																																																																																																																																																																																						
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																						
Other fee (specify) _____																																																																																																																																																																																										
<b>SUBTOTAL (3)</b>				(\$0.00)																																																																																																																																																																																						
<b>2. EXTRA CLAIM FEES</b> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>31 - 20** =</td> <td>11 x</td> <td>18.00 =</td> <td>198.00</td> </tr> <tr> <td>Independent Claims 2 - 3** =</td> <td>0 x</td> <td>86.00 =</td> <td>0.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>0.00</td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	31 - 20** =	11 x	18.00 =	198.00	Independent Claims 2 - 3** =	0 x	86.00 =	0.00	Multiple Dependent			0.00	<b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>770</td> <td>2001 385</td> <td>Utility filing Fee 770.00</td> </tr> <tr> <td>1002</td> <td>340</td> <td>2002 170</td> <td>Design filing Fee</td> </tr> <tr> <td>1003</td> <td>530</td> <td>2003 265</td> <td>Plant filing fee</td> </tr> <tr> <td>1004</td> <td>770</td> <td>2004 385</td> <td>Reissue filing fee</td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005 80</td> <td>Provisional filing fee</td> </tr> <tr> <td colspan="3"><b>SUBTOTAL (1)</b></td> <td>(\$770.00)</td> </tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	1001	770	2001 385	Utility filing Fee 770.00	1002	340	2002 170	Design filing Fee	1003	530	2003 265	Plant filing fee	1004	770	2004 385	Reissue filing fee	1005	160	2005 80	Provisional filing fee	<b>SUBTOTAL (1)</b>			(\$770.00)																																																																																																																																											
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																							
31 - 20** =	11 x	18.00 =	198.00																																																																																																																																																																																							
Independent Claims 2 - 3** =	0 x	86.00 =	0.00																																																																																																																																																																																							
Multiple Dependent			0.00																																																																																																																																																																																							
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid																																																																																																																																																																																							
1001	770	2001 385	Utility filing Fee 770.00																																																																																																																																																																																							
1002	340	2002 170	Design filing Fee																																																																																																																																																																																							
1003	530	2003 265	Plant filing fee																																																																																																																																																																																							
1004	770	2004 385	Reissue filing fee																																																																																																																																																																																							
1005	160	2005 80	Provisional filing fee																																																																																																																																																																																							
<b>SUBTOTAL (1)</b>			(\$770.00)																																																																																																																																																																																							
<table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202 9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>1201</td> <td>86</td> <td>2201 43</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>1203</td> <td>290</td> <td>2203 145</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>1204</td> <td>86</td> <td>2204 43</td> <td>**Reissue independent claims over original patent</td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205 9</td> <td>**Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="3"><b>SUBTOTAL (2)</b></td> <td>(\$198.00)</td> </tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	1202	18	2202 9	Claims in excess of 20	1201	86	2201 43	Independent claims in excess of 3	1203	290	2203 145	Multiple dependent claim, if not paid	1204	86	2204 43	**Reissue independent claims over original patent	1205	18	2205 9	**Reissue claims in excess of 20 and over original patent	<b>SUBTOTAL (2)</b>			(\$198.00)	**or number previously paid, if greater; For Reissues, see above																																																																																																																																																												
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid																																																																																																																																																																																							
1202	18	2202 9	Claims in excess of 20																																																																																																																																																																																							
1201	86	2201 43	Independent claims in excess of 3																																																																																																																																																																																							
1203	290	2203 145	Multiple dependent claim, if not paid																																																																																																																																																																																							
1204	86	2204 43	**Reissue independent claims over original patent																																																																																																																																																																																							
1205	18	2205 9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																							
<b>SUBTOTAL (2)</b>			(\$198.00)																																																																																																																																																																																							

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Christopher C. Winslade	Registration No. (Attorney or Agent)	36,308
Telephone	312-775-8000	Date	March 19, 2004
Signature		Date	

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.